

August 4, 2015

Colorado Department of Labor and Employment
Statewide WARN Act Coordinator
Attn: Karen Hoopes
633 17 Street, Suite 700
Denver, Colorado 80202

RECEIVED
AUG 11 2015

The Honorable Michael B. Hancock
Mayor of the City of Denver
1437 N Bannock Street, Room 350
Denver, CO 80202

Re: Notice of Layoffs

Dear Ms. Hoopes and Mayor Hancock:

This is to advise you that Curian Capital LLC will be permanently laying off employees at its location at 7601 Technology Way, Denver, CO 80237.

This action is expected to result in the permanent termination of 224 employees as listed on Attachment A, B, C, & D. Due to regulatory requirements governing registered investment advisors, the process will be slow and measured, occurring in four (4) separate segments, commencing on September 1, 2015 and continuing until the layoffs are completed on or about June 30, 2016.

The first two segments will not individually trigger the notice requirements of the Worker Adjustment and Retraining Notification Act ("WARN"), nor will they trigger WARN's requirements in combination, as they will not occur within any applicable look-back period. Moreover, the first layoffs, on September 1, 2015, will affect primarily a remote sales force working and living in 24 different states outside of Colorado. We provide this information as background.

The third segment, occurring on April 30, 2016, may trigger WARN's notice requirements individually but not in combination with the other layoffs.

The fourth and final segment will occur on or about June 30, 2016, and will affect the remaining 11 employees. At that time, the Company expects to cease operations.

In any event, the Company is electing to provide notice to you and the entire group of employees affected by the layoffs.

Attached as Attachment A, B, C, & D are lists of the affected job titles for each layoff, together with the number of affected employees within in each job title, and the termination dates for each of the four segments. The names of the employees are available from the contact below. As noted above, all of the layoffs are expected to be permanent.

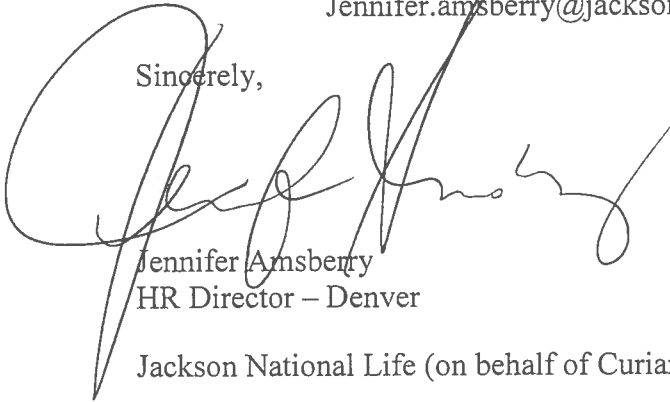
7601 Technology Way
Denver CO 80237
Phone: 720-489-6400

None of the affected employees are represented by a union, and no bumping rights exist with respect to any terminations.

Please contact me if you have any questions regarding the above. I can be reached at:

Jennifer Amsberry
Curian Capital, LLC
7601 Technology Way,
Denver, CO 80237
303-846-3870 [phone]
Jennifer.amsberry@jackson.com [email address.]

Sincerely,



Jennifer Amsberry
HR Director – Denver

Jackson National Life (on behalf of Curian Capital and Curian Clearing)



Attachment A

September 1 2015 Layoffs

Curian Capital, LLC
7601 Technology Way
Denver, CO 80237

Positions	# of Employees in Position	Termination Dates
AVP, Practice Management*	1	9/1/2015
Consultant Services Manager*	1	9/1/2015
Director, Practice Management*	1	9/1/2015
Divisional VP, Curian*	2	9/1/2015
AVP, Marketing*	2	9/1/2015
Director, Digital Strategy	1	9/1/2015
Marketing Manager	2	9/1/2015
External Regional Business Consultant - Alabama	1	9/1/2015
External Regional Business Consultant - Arizona	1	9/1/2015
External Regional Business Consultant - California	1	9/1/2015
External Regional Business Consultant - California	1	9/1/2015
External Regional Business Consultant - California	1	9/1/2015
External Regional Business Consultant - California	1	9/1/2015
External Regional Business Consultant - California	1	9/1/2015
External Regional Business Consultant - Colorado*	1	9/1/2015
External Regional Business Consultant - Delaware	1	9/1/2015
External Regional Business Consultant - Florida	1	9/1/2015
External Regional Business Consultant - Florida	1	9/1/2015
External Regional Business Consultant - Illinois	1	9/1/2015
External Regional Business Consultant - Indiana	1	9/1/2015
External Regional Business Consultant - Kansas	1	9/1/2015
External Regional Business Consultant - Massachusetts	1	9/1/2015
External Regional Business	1	9/1/2015



Positions	# of Employees in Position	Termination Dates
Consultant – Michigan		
External Regional Business Consultant - Michigan	1	9/1/2015
External Regional Business Consultant - Minnesota	1	9/1/2015
External Regional Business Consultant - Missouri	1	9/1/2015
External Regional Business Consultant - New Jersey	1	9/1/2015
External Regional Business Consultant - New York	1	9/1/2015
External Regional Business Consultant - New York	1	9/1/2015
External Regional Business Consultant - Non-North Dakota	1	9/1/2015
External Regional Business Consultant - Ohio	1	9/1/2015
External Regional Business Consultant - Oregon	1	9/1/2015
External Regional Business Consultant - Pennsylvania	1	9/1/2015
External Regional Business Consultant - Pennsylvania	1	9/1/2015
External Regional Business Consultant - Pennsylvania	1	9/1/2015
External Regional Business Consultant - South Carolina	1	9/1/2015
External Regional Business Consultant - Tennessee	1	9/1/2015
External Regional Business Consultant - Texas	1	9/1/2015
External Regional Business Consultant - Texas	1	9/1/2015
External Regional Business Consultant - Washington	1	9/1/2015
External Regional Business Consultant - Wisconsin	1	9/1/2015
VP, Investment Specialist*	2	9/1/2015
* Employees assigned to Denver location.		



Attachment B

December 31, 2015 Layoffs

Curian Capital, LLC
7601 Technology Way
Denver, CO 80237

Positions	# of Employees in Position	Termination Dates
Administrative Assistant	2	12/31/2015
Business Analyst	1	12/31/2015
Director, Customer Insights & Strategy	1	12/31/2015
Director, Quality & Client Rel	1	12/31/2015
Internal Strategic Accts Mgr	1	12/31/2015
Marketing Mgr, Curian Capital	1	12/31/2015
Product Manager	2	12/31/2015
Project Manager	1	12/31/2015
RVP, Strategic Accts, Curian	3	12/31/2015
National Sales Manager	1	12/31/2015
SVP, Marketing, Curian Capital	1	12/31/2015
VP, Marketing, Curian	1	12/31/2015
VP, Prod Dev, Curian Capital	1	12/31/2015
VP, Strategic Development	1	12/31/2015



Attachment C

April 30, 2016 Layoffs

Curian Capital, LLC
7601 Technology Way
Denver, CO 80237

Positions	# of Employees in Position	Termination Dates
Administrative Assistant	1	4/30/2016
AVP, Asset Mgmt, Curian	1	4/30/2016
AVP, Bus Plan Svcs, Curian Cap	1	4/30/2016
AVP, Operational Initiatives	1	4/30/2016
AVP, Sales Desk, Curian Capital	1	4/30/2016
Broker Transfer Spec	12	4/30/2016
Business Analyst	2	4/30/2016
Cash Management Specialist	1	4/30/2016
Clearing Operations Specialist	5	4/30/2016
Compliance Analyst	3	4/30/2016
Curian Care Rep	20	4/30/2016
Director - Sr Research Analyst	2	4/30/2016
Director, Business Reporting and Analysis	1	4/30/2016
Director, Curian Sales Desk	2	4/30/2016
Director, Ops, Curian Clearing	2	4/30/2016
Director, Process Improvement	1	4/30/2016
ECS Support Specialist	1	4/30/2016
Financial Analyst	2	4/30/2016
Financial Prof Spec	4	4/30/2016
Internal Regional Bus Consult	31	4/30/2016
Investment Analyst	1	4/30/2016
Manager, Client Services	2	4/30/2016
Manager, Tax Reporting	1	4/30/2016
Mgr, Client Services - PAS	1	4/30/2016
Monetary Controls Spec	8	4/30/2016
New Accounts Spec	5	4/30/2016
Outbound Resolution Representative	2	4/30/2016
Portfolio Reporting Analyst	1	4/30/2016
Product Manager	1	4/30/2016
Project Coordinator	4	4/30/2016
Research Analyst	1	4/30/2016
Resolution Support Specialist	2	4/30/2016
Senior Operations Associate	1	4/30/2016
Sr Corp Operations Trainer	1	4/30/2016



Positions	# of Employees in Position	Termination Dates
Sr Curian Care Rep	4	4/30/2016
Sr Equity Trader, Curian	1	4/30/2016
Sr Monetary Controls Specialist	1	4/30/2016
Sr. Tax Reporting Analyst	1	4/30/2016
Staff Accountant, Curian	1	4/30/2016
Strategic Bus Report Analyst	2	4/30/2016
SVP, Chief Investment Officer, Curian Capital	1	4/30/2016
Tax Reporting Analyst	1	4/30/2016
Team Lead, Accounting	1	4/30/2016
Team Leader, Clearing Ops	6	4/30/2016
Technical Writer, Curian	1	4/30/2016
Trading Asst & P&S Specialist	1	4/30/2016
VP, Trading	1	4/30/2016
VP, Head of Portfolio Management	1	4/30/2016
VP, Investment Specialist	2	4/30/2016



Attachment D

June 30, 2016 Lavoffs

Curian Capital, LLC
7601 Technology Way
Denver, CO 80237

Positions	# of Employees in Position	Termination Dates
Curian Care Rep	1	6/30/2016
Director, Business Reporting and Analysis	1	6/30/2016
Director, Ops, Curian Clearing	1	6/30/2016
Private Advisor Services Representative	3	6/30/2016
Team Leader, Clearing Ops	1	6/30/2016
VP, Client Services	1	6/30/2016
VP, Controller	1	6/30/2016
VP, Curian Clearing	1	6/30/2016
VP, Operations, Curian	1	6/30/2016

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